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Atty. Docket No. 85827-105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Andrew KIRK

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| Serial No. | 10/580,654 | Group Art Unit: | 2874 |
| Filed: | November 26, 2004 | Examiner: | N/A |
| Title: | WAVELENGTH MULTIPLEXER/DEMULTIPLEXER COMPRISING AN OPTICALLY DISPERSIVE STRATIFIED BODY | | |

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

This Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing date of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

Applicant therefore respectfully requests consideration of this Information Disclosure Statement under 37 CFR 1.97(b), 37 CFR 1.97(c), or 37 CFR 1.97(d), as appropriate.

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications, applications, or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO/SB/08.

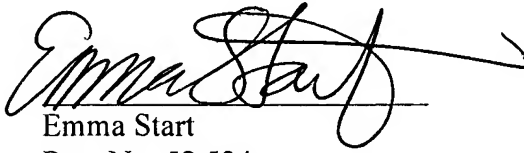
In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

- i) each foreign patent document;
- ii) each publication or that portion which caused it to be listed;
- iii) for each cited pending unpublished U.S. patent application, the application specification including the claims, and any drawings of the application, or that portion of the application which caused it to be listed including any claims directed to that portion; and
- iv) all other information or that portion which caused it to be listed, excluding any copies of a United States patent or patent application.

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any overpayment in fees to Deposit Account Number 19-2550.

Respectfully submitted,


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Dated: January 12, 2007

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Enclosures: Form PTO/SB/08
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

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Complete if Known

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|------------------------|-------------------|
| Application Number | 10/580,654 |
| Filing Date | November 26, 2004 |
| First Named Inventor | Andrew KIRK |
| Art Unit | 2874 |
| Examiner Name | N/A |
| Attorney Docket Number | 85827-105 |

[illegible]

Examiner
Signature

Date
Considered

| | |
|--------------------|------------|
| Examiner Signature | Considered |
|--------------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**

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